

# Hui Li

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## EDUCATION

**Ph.D. in Accounting and Finance**, University of Glasgow (2011-Present)

**Supervisors:** Antonios Siganos, Chris Veld

**Thesis:** The Announcement Effect of Convertible Bond in the Banking Industry

**Abstract:** This study empirically examines the wealth effect of bank-issued convertible bond and the determinants of the effect, over the sample period 1992-2011. Previous studies are focused on the announcement effects of convertible bonds in non-banks firms, and generally find negative abnormal return associated with the announcement. Banking regulations help to reduce the information asymmetry between banks and investors, hence the announcement of convertible bond may have less negative impact on the abnormal return.

**MSc Banking and Finance**, Bangor University (2009-2010): **Distinction**

**Modules:** Research Methods, Bank Financial Management, International Financial Markets, Financial Modeling, International Banking, Financial Econometrics, Financial Analysis, Financial Engineering

**Thesis:** How Chinese Banks Manage Their Capital ratios: An Empirical Study of the Determinants of Capital Buffers (**Distinction**)

**Abstract:** This study empirically examines the determinants of bank capital buffers, including tier 1 capital buffer, total capital buffer and also equity capital buffer over the sample period from 2001 to 2008. Particularly, it assesses the impact of ownership structure (state, domestic private, municipal and foreign ownerships) on bank capital. We find that state-owned, joint-stock and foreign banks tend to hold more capital buffers than their counterparts, while larger and diversified banks tend to hold less capital buffers.

**BSc MORSE** (Mathematics, Operational Research, Statistics, Economics), The University of Warwick (2006-2009)

**Modules:** Linear Algebra, Probability, Applications of Algebra and Analysis, Mathematical Programming, Statistical Computing, Introduction to Quantitative Economics, Mathematics of Random Events, Forecasting & Control (using S+), Mathematical Statistics, Mathematical Economics, Accounting and Finance, Introduction to Business Studies, Simulation, Geometry, Differentiation, Stochastic Processes, Game Theory, Principle of Finance, Operational Research in Strategic Planning

**Grantham College (A-Level)**, Grantham (2004-2006)

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## TEACHER TRAINING RECEIVED

01/2011-04/2011 Graduate Teaching Assistants (GTAs)  
University of Glasgow, United Kingdom  
Approaches to Teaching, Learning and Assessment

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## RESEARCH

H, Li, 2010, The determinants of the Bank Capital Buffers of Chinese Banks—Does Ownership Structure count? Working Paper. Accepted by the 14<sup>th</sup> International Business Research Conference, Dubai, UAE (April 2011), 2011 Costa Rica Global Conference on Business and Finance, Cost Rica (May 2011), and Annual International Conference on Accounting and Finance, Singapore (May 2011).

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## AWARDS

2011 Fully funded to undertake a three-year doctoral research by Business School, University of Glasgow, United Kingdom  
2005 Silver certificate in UK senior mathematical challenge  
(only 13% of the candidates from 1749 schools and colleges in the UK won silver certificate in 2005)

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## TEACHING EXPERIENCE

01/2011-02/2011 Teaching Assistant  
Business School, University of Glasgow, Glasgow, United Kingdom  
Finance 1

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## WORK EXPERIENCE

08/2008-09/2008 Summer Internship in China Merchant Bank, Harbin (Department of operation, credit and individual credit)  
07/2007-08/2007 Summer Internship in China Merchant Bank, Shenzhen (Department of international business, and credit)

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## FURTHER INFORMATION

**I.T. Proficiency:** Stata, Bankscope, Datastream.  
**Language:** Chinese Mandarin (Native), English  
**Interests:** Painting (pencil sketch and traditional Chinese painting).  
Literature  
**Activities:** Warwick speaker society (Built up the expression and presentation skills.)  
Latin and Ballroom dancing society  
Art Director in the drama “Secret Love and Peach Blooming Land” produced by Warwick Chinese Drama Society  
Fashion show model in One World Week 2006

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## REFEREES

Professor Richard Macve

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